

# Ruled surfaces

Def  $S$  : surface

$S$  is ruled if  $S$  is birationally equivalent to  $C \times \mathbb{P}^1$   
where  $C$  is a smooth curve.

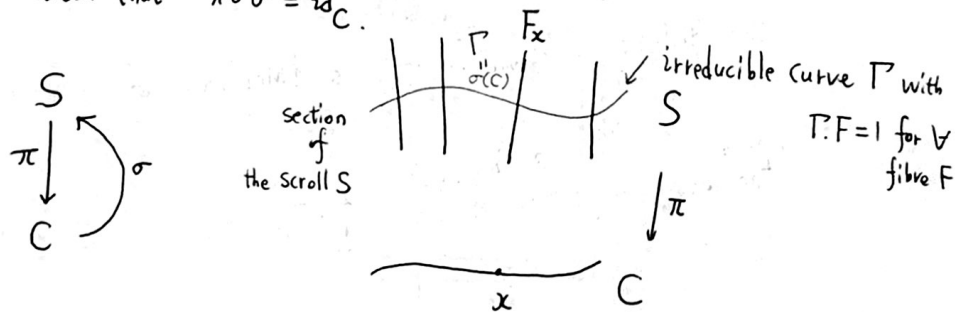
$S$  is rational if  $C = \mathbb{P}^1$ .

$S$  is geometrically ruled surface over  $C$  if  $\exists$  surjective morphism  $\pi: S \rightarrow C$  onto a smooth curve  $C$  (called base curve) such that all fibres  $F_x = \pi^{-1}(x)$  isomorphic to  $\mathbb{P}^1$  for  $\forall x \in C$ .

also called  $\mathbb{P}^1$ -bundle or a scroll over  $C$  these fibres called rulings of  $S$

$S$  is rationally ruled if it is both rational & ruled.

Remark A priori, for a geometrically ruled surface  $\pi: S \rightarrow C$ ,  $\pi$  admits a section, that is, a morphism  $\sigma: C \rightarrow S$  such that  $\pi \circ \sigma = \text{id}_C$ .



Geometrically ruled (surfaces)  $\Rightarrow$  ruled.

THEOREM (Noether-Enriques)

$S$  : surface

$p: S \rightarrow C$  morphism onto a smooth curve  $C$

Suppose  $\exists x \in C$  s.t.  $\begin{cases} p \text{ smooth over } x \\ \& \\ F_x = p^{-1}(x) \cong \mathbb{P}^1 \end{cases}$

then  $\exists$  a Zariski open subset  $U \subseteq C$  containing  $x$  & an  $U$ -isomorphism

$$p^{-1}(U) \xrightarrow{\cong} U \times \mathbb{P}^1$$

$$\begin{array}{ccc} p & \searrow & \swarrow p_1 \\ & U & \end{array}$$

In particular,  $S$  is ruled.

Pf Step 1 :  $H^2(S, \mathcal{O}_S) = 0$  [i.e.  $p$  locally trivial fibration with fibre  $\mathbb{P}^1$  (that is,  $\mathbb{P}^1$ -bundle) (in the Zariski topology)]

Put  $F = p^{-1}(x)$

then  $F^2 = 0$  (since  $F$  is a fibre)

by genus formula  $\Rightarrow K_S.F = -2$

Suppose that  $H^2(S, \mathcal{O}_S) \neq 0$ , then  $h^0(K_S) = h^2(\mathcal{O}_S) \geq 1$

& hence  $|K_S|$  contains an effective divisor  $D$ .

One has

$$\left. \begin{array}{l} K_S F = -2 \\ \parallel \\ DF \\ F^2 = 0, D \geq 0 \Rightarrow DF \geq 0 \end{array} \right\} \searrow$$

Step 2:  $\exists$  a section  $\sigma: C \rightarrow S$  of  $p$ , that is, a curve  $\overset{\sigma(C)}{\parallel} H$  on  $S$  with  $H \rightarrow C$  &  $HF=1$  for each fibre  $F$ .

$p_* \mathcal{O}_S$  torsion-free coherent sheaf  $\left( \overset{\text{on } C}{\parallel} \mathcal{O}\text{-module} \right) \Rightarrow p_* \mathcal{O}_S$  locally free of finite rank

$C$  smooth curve

$p$  flat  $\left. \begin{array}{l} h^1(p^*(x), \mathcal{O}_{p^*(x)}) = 0 \end{array} \right\} \xrightarrow{\text{semi-continuity}} h^1(p^*(x'), \mathcal{O}_{p^*(x')}) = 0$

in a neighborhood  $V$  of  $x$  in  $C$

where  $\forall x' \in V$

$\Downarrow$  Grauert

$R^1 p_* \mathcal{O}_S$  locally free on  $V$  &  $R^1 p_* \mathcal{O}_S \otimes k(x') \cong H^1(\mathcal{O}_{p^*(x')})$

for  $\forall x' \in V$

$\Downarrow$  Cohomology & base-change theorem

$p_* \mathcal{O}_S \otimes k(x') \cong H^0(\mathcal{O}_{p^*(x')})$  for  $\forall x' \in V$ .

at the point  $x \in C$ ,  $p^*(x) \cong \mathbb{P}^1$

$$\Rightarrow h^0(p^*(x), \mathcal{O}_{p^*(x)}) = 1$$

$\Rightarrow$  the locally free sheaf  $p_* \mathcal{O}_S$  is of rank 1

$\Rightarrow p_* \mathcal{O}_S = \mathcal{O}_C \otimes k(C)$  &  $k(C)$  is algebraically closed in  $k(S)$

Lemma

If  $f: X \rightarrow Y$  dominant morphism with  $X$  smooth irred. var. of  $\dim \geq 2$  &  $Y$  irred. curve

&  $k(Y)$  is algebraically closed in  $k(X)$

then the fibre  $f^{-1}(y)$  is geometrically integral for all  $y$  but a finite number of closed points  $y \in Y$ .

$\Rightarrow \exists$  an open neighborhood  $U, \subseteq V$  of  $x$  in  $C$  such that the fibre  $F_{x'} = p^*(x')$  is geometrically integral for  $\forall x' \in U$ .

$\Downarrow p$  flat

the Hilbert polynomial  $P_{x'} \in \mathbb{Q}[z]$  of  $F_{x'}$  is independent of  $x' \in U$ ,

$\Downarrow$

the arithmetic genus of  $F_{x'}$ ,  $p_a(F_{x'}) := (-1)^{\dim F_{x'}} (P_{x'}(0) - 1)$  is independent of  $x' \in U$ ,

Note  $p_a(F_x) = p_a(p^*) = 0$

$\Rightarrow$  the generic fibre  $F_\eta$  has arithmetic genus 0

&  $F_{x'} \cong \mathbb{P}^1$  for  $\forall x' \in U_i \subseteq V \subseteq C$

$$\begin{array}{ccc} F_\eta & \rightarrow & S \\ \downarrow & & \downarrow p \\ \text{Spec } k(\eta) & \rightarrow & C \end{array}$$

$$\Rightarrow F_\eta \cong \mathbb{P}_{k(\eta)}^1 \cong \mathbb{P}_{k(\bar{\mathbb{B}})}^1 \xrightarrow{|-k_{F_\eta}|} \mathbb{P}_{k(\bar{\mathbb{B}})}^2$$

as a conic

$$(Q(x_0, x_1, x_2) = \sum_{i,j} l_{ij}(x) x_i x_j) \in \mathbb{P}_{k[x]}^2$$

with  $l_{ij} \in k[x]$

### THEOREM (Tsen)

i.e.  $k$  is  
quasi-algebraically  
closed ( $C_1$ )

$k$  function field of a curve  $C$  over  $\mathbb{C}$

$X \subset \mathbb{P}_k^n$  any hypersurface of  $\deg d \leq n$  over  $k$

then  $X$  has a  $k$ -rational point.

by Tsen's theorem,

$F_\eta$  has a  $k(\bar{\mathbb{B}})$ -rational point

$\mathbb{G}_{m, \eta, C}$

that is,  $\exists$  a  $k(\bar{\mathbb{B}})$ -morphism  $\sigma': \text{Spec } k(\bar{\mathbb{B}}) \rightarrow F_\eta$

$$\begin{array}{ccc} \text{Spec } k(\bar{\mathbb{B}}) & \xrightarrow{\sigma'} & F_\eta \xrightarrow{p} C \\ \parallel & & \uparrow \scriptstyle \text{Canonical inclusion} \\ \text{Spec } \mathbb{G}_{m, C} & & \end{array}$$

$\Rightarrow \exists$  an open nbhd  $W$  of  $\eta$  such that

$$\begin{array}{ccc} W & \xrightarrow{p^{-1}(W)} & W \\ & \searrow \text{id}_W & \nearrow \end{array}$$

i.e.  $\exists$  a rational section  $C \xrightarrow{\sigma} S$  of  $p$ .

$\left. \begin{array}{l} C \text{ smooth curve} \\ S \text{ projective variety} \end{array} \right\} \Rightarrow$  the rational map  $C \xrightarrow{\sigma} S$  is an everywhere defined morphism  $\sigma: C \rightarrow S$ .

Now put  $H = \sigma(C) \subset S$ , then  $H \rightarrow C$  &  
 $H \cdot F_{x'} = 1$  for  $\forall x' \in C$ .

Step 3 (local structure of  $p: S \rightarrow C$ )

$$\begin{array}{ccc} p^{-1}(U_i) & \xrightarrow{\cong} & \mathbb{P}((p|_{U_i})_* \mathcal{O}(H)) \\ p \downarrow & \cong & \swarrow \pi \\ U_i & & \end{array}$$

For convenience, let  $S_i := p^{-1}(U_i)$  &  $p_i := p|_{S_i}: S_i \rightarrow U_i$

all fibres of  $p_i$  are projective lines

$$\left. \begin{array}{l} \mathcal{O}_{S_i}(H) \otimes \mathcal{O}_{F_{x'}} \cong \mathcal{O}_{F_{x'}}(1) \text{ for } \forall x' \in U_i \\ \mathcal{O}_{S_i}(H) \otimes \mathcal{O}_{F_{x'}} \cong \mathcal{O}_{F_{x'}}(1) \text{ for } \forall x' \in U_i \end{array} \right\} \Rightarrow h^0(\mathcal{O}_{S_i}(H) \otimes k(x')) = 2$$

(as  $k(x')$ -vector space)  
for  $\forall x' \in U_i$

Again by cohomology & base change theorem,

$E := p_{1*} \mathcal{O}_S(H)$  is a locally free rk 2  $\mathcal{O}_{U_1}$ -module.

&

$$p_{1*} \mathcal{O}_S(H) \otimes k(x') \xrightarrow{\cong} H^0(\mathcal{O}_S(H) \otimes \mathcal{O}_{F_{x'}})$$

for  $\forall x' \in U_1$ .

$$\Rightarrow p_1^* p_{1*} \mathcal{O}_S(H) \xrightarrow{p_1^* E} \mathcal{O}_S(H)$$

By the universal property of  $\mathbb{P}(E)$ ,

$$\begin{array}{ccc} & \mathbb{P}(E) & \\ \nearrow \varphi & \downarrow & \\ S_1 & \xrightarrow{p_1} & U_1 \end{array}$$

$$\text{s.t. } \mathcal{O}_S(H) \cong \varphi^* \mathcal{O}_{\mathbb{P}(E)}(1)$$

Over any point  $x' \in U_1$ ,

$$\begin{array}{ccc} F_{x'} & \xrightarrow{\cong} & \mathbb{P}'_{k(x')} \\ \cap & & \cap \\ S_1 & \xrightarrow{\varphi} & \mathbb{P}(E) \end{array}$$

$\Rightarrow \varphi$  is an isom.



If we strengthen the assumptions on the Noether-Enriques theorem by requiring that all fibres are isomorphic to  $\mathbb{P}^1$ , that is,  $S$  is a geometrically ruled surface.

then we can build the following connection between geom. ruled surfaces over a base curve  $C$  & rank 2 vector bundles/ $C$ .

More precisely,

Prop. Let  $S$  be a geometrically ruled surface over a smooth curve  $C$  then  $\exists$  a locally free rank 2  $\mathcal{O}_C$ -module  $E$  & an isomorphism  $\varphi: S \rightarrow \mathbb{P}_C(E)$  over  $C$ .

Furthermore, for two rank 2 vector bundles  $E, E'$  on  $C$ , the bundles  $\mathbb{P}_C(E) \rightarrow \mathbb{P}_C(E')$  are  $C$ -isomorphic  $\Leftrightarrow \exists$  a line bundle  $L$  on  $C$  s.t.

$$E' \cong E \otimes L$$

Pf By the proof of theorem of Noether-Enriques, suffices to show the 2nd statement.

If  $E' \cong E \otimes L$ , then  $\text{Sym}^n E' \cong \text{Sym}^n(E \otimes L) \cong \text{Sym}^n(E) \otimes L^{\otimes n}$

$$\Rightarrow S_{\mathcal{O}_C}(E') \cong \bigoplus_{n \geq 0} \text{Sym}^n(E) \otimes L^{\otimes n} \text{ as graded } \mathcal{O}_C\text{-alg.}$$

$(x_1 \otimes y_1) \cdots (x_n \otimes y_n) \mapsto (x_1 x_2 \cdots x_n) \otimes (y_1 \otimes \cdots \otimes y_n)$

So suffices to show that  $\text{Proj } S_{\mathcal{O}_C}(E) \cong \text{Proj } \bigoplus_{n \geq 0} (\text{Sym}^n E) \otimes L^{\otimes n}$

the question is local on  $C$

over  $C$ .

$C = \bigcup_i U_i$   $U_i$  open subset of  $C$  affine  $L|_{U_i} \cong \mathcal{O}_{U_i}$  over  $U_i = \text{Spec } A$  with a single generator  $c$

then  $\forall n \geq 0, \text{Sym}^n E \longrightarrow \text{Sym}^n E \otimes L^{\otimes n}$  is an  $A$ -module isom.  
 $x_n \longmapsto x_n \otimes c^{\otimes n}$

$\Rightarrow$   $N$ -graded alg. isom.  $\varphi_c: S(E) \longrightarrow \bigoplus_{n \geq 0} \text{Sym}^n E \otimes L^{\otimes n}$

Now let  $f \in S(E)_+$  be a form of degree  $d$ , then

for  $\forall x \in S(E)_{nd}$ ,  $\forall$  invertible element  $\varepsilon \in A$ ,

$$\frac{(x \otimes c^{nd})}{(f \otimes c^d)^n} = \frac{(x \otimes (\varepsilon c)^{nd})}{(f \otimes (\varepsilon c)^d)^n}$$

$$\Rightarrow \varphi_c: S(E)_{(f)} \xrightarrow{\sim} \left( \bigoplus_{n \geq 0} \text{Sym}^n E \otimes L^{\otimes n} \right)_{(f \otimes c^d)}$$

independent of the choice of generator of  $L$ .

$$\Rightarrow P(E') \xrightarrow[\cong]{E \otimes L} P(E) \text{ over } C \text{ \& } \theta^* \mathcal{O}_{P(E \otimes L)}(n) \cong \mathcal{O}_{P(E)}(n) \otimes L^{\otimes n}$$

(above argument holds/works on arbitrary schemes)

Conversely, if we have a  $C$ -isomorphism  $P(E) \xrightarrow{\theta} P(E')$

$$\begin{array}{ccc} & \theta & \\ \pi \searrow & & \swarrow \pi' \\ & C & \end{array}$$

a priori, we know that

Prop

$X$  arbitrary alg var.

$E$  locally free  $\mathcal{O}_X$ -module of finite rank,

$f: P(E) \rightarrow X$  the projective fibre bundle on  $X$

then  $\text{Pic}(P(E)) \cong \mathbb{Z}[\mathcal{O}_{P(E)}(1)] \oplus f^*(\text{Pic } X)$

$$\Rightarrow \theta^* \mathcal{O}_{P(E')}(1) \cong \pi^* L \otimes \mathcal{O}_{P(E)}(m)$$

for some line bundle  $L \in \text{Pic}(C)$  &  $m \in \mathbb{Z}$ .

By projection formula,

$$\pi_* \theta^* \mathcal{O}_{P(E')}(1) \cong \pi_* (\pi^* L \otimes \mathcal{O}_{P(E)}(m))$$

$$\parallel$$

$$\pi_* (\mathcal{O}_{P(E)}(1) \otimes \mathcal{O}_{P(E)}(m))$$

$$\parallel$$

$$E'$$

$$\parallel \text{ P.F.}$$

$$L \otimes \pi_* \mathcal{O}_{P(E)}(m)$$

$$\parallel$$

$$\begin{cases} L \otimes S^m E & (m \geq 0) \\ 0 & (m < 0) \end{cases}$$

$$\Rightarrow m=1$$

$$\text{i.e. } E' \cong L \otimes E$$

Cor  $p: S \rightarrow C$  geometrically ruled surface

$\sigma: C \rightarrow S$  a section of  $p$

Put  $H = \sigma(C)$ ,  $F$  a fibre of  $p$

then  $\text{Pic } S \cong \mathbb{Z} \cdot h \oplus p^* \text{Pic}(C)$

$$\text{Pic } S / \cong_{\text{num}} = \text{Num } S \cong \mathbb{Z} \cdot h \oplus \mathbb{Z} \cdot f$$

$$H^2(S, \mathbb{Z}) \cong \mathbb{Z} h \oplus \mathbb{Z} f$$

where  $h, f$  denote class of  $H, F$  <sup>in the corresponding group</sup>, resp

Pf.  $S = \mathbb{P}(E)$  for some rank 2 vector bundle  $E$  on  $C$

then  $\mathcal{O}_S(H) \cong \mathcal{O}_{\mathbb{P}(E)}(1) \otimes p^* L'$  for some  $L' \in \text{Pic } C$ .

note that  $\text{Pic}(\mathbb{P}(E)) \cong \mathbb{Z} [\mathcal{O}_{\mathbb{P}(E)}(1)] \oplus p^* \text{Pic}(C)$   
 $\parallel$   
 $\text{Pic } S$

Consider the exponential exact seq.

$$0 \rightarrow \mathbb{Z} \rightarrow \mathcal{O}_S \rightarrow \mathcal{O}_S^* \rightarrow 0$$

taking cohom.

$$0 \rightarrow H^1(S, \mathbb{Z}) \rightarrow H^1(S, \mathcal{O}_S) \xrightarrow{\text{Pic } S} H^1(\mathcal{O}_S^*) \xrightarrow{\cong} H^2(S, \mathbb{Z}) \rightarrow H^2(\mathcal{O}_S)$$

$\Rightarrow H^2(S, \mathbb{Z})$  is a quotient of  $\text{Pic } S$ .

& two points of  $C$  have the same cohomology class in  $H^2(C, \mathbb{Z}) \cong \mathbb{Z}$

$f^2=0, fh=1 \Rightarrow$  two linearly independent cohomology classes  $f, h$  generate  $H^2(S, \mathbb{Z})$ .

$\text{Num}(C) = \mathbb{Z} \{x\}$  for arbitrary closed point  $x \in C$ .

$$\Rightarrow p^* \text{Num}(C) \cong \mathbb{Z} \cdot f$$

&

hence  $\text{Num}(S) \cong \mathbb{Z} h \oplus \mathbb{Z} f$ .

□

# Vector bundles on curves & Extensions of vector bundles

Prop [Splitting of vector bundles]  
 $C$  smooth projective curve.

$E$  locally free  $\mathcal{O}_C$ -module of rank  $r \geq 1$

then  $\exists$  a chain of locally free subsheaves of  $E$

$$0 = E_0 \subset E_1 \subset \dots \subset E_r = E$$

with  $\begin{cases} \text{rank } E_i = i \\ E_i/E_{i-1} \text{ an invertible } \mathcal{O}_C\text{-module for } 1 \leq i \leq r. \end{cases}$

Pf Use induction on  $\text{rank } E = r$ .

Suffices to show that  $E$  has an invertible subsheaf  $L$  such that  $E/L$  is locally free of rank  $r-1$

let  $P = \mathbb{P}(E^\vee)$  be the projective bundle over  $C$  with  $\pi : P \rightarrow C$  the canonical projection.

then  $\pi^* E^\vee \rightarrow \mathcal{O}_P(1)$

$$\Rightarrow \mathcal{O}_P(-1) \hookrightarrow \pi^* E$$

the quotient  $E' = \pi^* E / \mathcal{O}_P(-1)$  is locally free

let  $s \in \Gamma(U, E)$  be a nonzero section of  $E$  over certain nonempty open subset  $U \subseteq C$ .

$s$  defines a morphism of sheaves

$$E^\vee|_U = i^* E^\vee \rightarrow \mathcal{O}_U$$

$i : U \hookrightarrow C$   
inclusion

it is surjective over some nonempty open  $V \subseteq U$

$$i^* E^\vee \rightarrow \mathcal{O}_V$$

$i : V \hookrightarrow C$  inclusion

$\Rightarrow$  we have a morphism  $V \xrightarrow{i_s} P$

$$\begin{array}{ccc} & & P = \mathbb{P}(E^\vee) \\ & \nearrow i_s & \downarrow \pi \\ V & \xrightarrow{i} & C \end{array}$$

$\Rightarrow i_s : C \dashrightarrow P$  is a rational section of  $\pi$

$C$  smooth curve,  $P$  projective var  $\Rightarrow i_s : C \rightarrow P$  (everywhere defined)

$$0 \rightarrow \mathcal{O}_P(-1) \rightarrow \pi^* E \rightarrow E' \rightarrow 0 \text{ exact}$$

$$\Rightarrow 0 \rightarrow i_s^* \mathcal{O}_P(-1) \xrightarrow{=} i_s^* \pi^* E \rightarrow i_s^* E' \rightarrow 0 \text{ exact seq. of locally free } \mathcal{O}_C\text{-modules.}$$

invertible subsheaf

constructed from a nonzero section



Remark the chain  $0 = E_0 \subset E_1 \subset E_2 \subset \dots \subset E_r = E$

with  $E_i$  locally free of rank  $i$

$E_i/E_{i-1} = L_i$  line bundle for  $\forall 1 \leq i \leq r$

called the splitting of  $E$ , denoted by  $(L_1, \dots, L_r)$

If  $E$  locally free of rank  $r \geq 1$  on a curve  $C$

write  $\det E$  for  $\wedge^r E$

$\deg E$  for  $\deg(\det E)$

For  $\forall$  short exact sequence of locally free sheaves

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$$

$\text{rk } n' \quad \text{rk } n \quad \text{rk } n''$

We have  $\wedge^n \mathcal{F} \cong \wedge^{n'} \mathcal{F}' \otimes \wedge^{n''} \mathcal{F}''$

$\Rightarrow \deg$  is additive w.r.t. short exact seq. of vector bundles

So if  $(L_1, \dots, L_r)$  is a splitting of  $E$ , then  $E_1 = L_1$

$$\left. \begin{array}{l} 0 \rightarrow E_1 \rightarrow E_2 \rightarrow E_2/E_1 \rightarrow 0 \\ 0 \rightarrow E_2 \rightarrow E_3 \rightarrow L_2 \rightarrow 0 \\ \vdots \\ 0 \rightarrow E_{r-1} \rightarrow E_r \rightarrow L_r \rightarrow 0 \end{array} \right\} \Rightarrow \begin{array}{l} \deg E_1 = \deg L_1 \\ \deg E_2 = \deg E_1 + \deg L_2 \\ \deg E_3 = \deg E_2 + \deg L_3 \\ \vdots \\ \deg E = \deg E_{r-1} + \deg L_r \end{array}$$

$$\Rightarrow \deg E = \deg L_1 + \deg L_2 + \dots + \deg L_r = \sum_{i=1}^r \deg L_i$$

Riemann-Roch theorem

If  $E$  locally free  $\mathcal{O}_C$ -module of rank  $r$  on a smooth projective curve  $C$   
then  $\chi(E) = \deg E + r(1-g(C))$

Pf Induction on  $r$ .

- $r=1$ , this is the usual R.-R. for line bundles/divisors
- assume  $r > 1$ , then  $\exists$  s.e.s. of locally free  $\mathcal{O}_C$ -modules

$$0 \rightarrow L \rightarrow E \rightarrow E' \rightarrow 0$$

$\text{rk}=1 \qquad \text{rk}=r-1$

$$\begin{aligned} \Rightarrow \chi(E) &= \chi(L) + \chi(E') \\ &\stackrel{\substack{\text{usual R.-R.} \\ \text{inductive hypothesis}}}{=} [\deg L + (1-g)] + [\deg E' + (r-1)(1-g)] \\ &= \deg E + r(1-g) \end{aligned}$$

□



# Extensions of locally free sheaves / vector bundles

$X$  complete alg variety

$E_1, E_2$  two locally free  $\mathcal{O}_X$ -modules of finite rank

Def | an extension of  $E_2$  by  $E_1$  is an exact seq. of the form

$$0 \rightarrow E_1 \xrightarrow{\varphi} E \xrightarrow{\psi} E_2 \rightarrow 0$$

with  $E$  locally free of finite rank  
(automatically holds)

If  $0 \rightarrow E_1 \xrightarrow{\varphi'} E' \xrightarrow{\psi'} E_2 \rightarrow 0$  is another extension of  $E_2$  by  $E_1$ , we say that the two extensions are isomorphic if

$\exists$  an  $\mathcal{O}_X$ -module homo.  $\theta: E \rightarrow E'$  such that the following diagram commutes

$$\begin{array}{ccccccc} 0 & \rightarrow & E_1 & \xrightarrow{\varphi} & E & \xrightarrow{\psi} & E_2 \rightarrow 0 \\ & & \parallel & & \downarrow \theta & & \parallel \\ 0 & \rightarrow & E_1 & \xrightarrow{\varphi'} & E' & \xrightarrow{\psi'} & E_2 \rightarrow 0 \end{array}$$

the extension  $0 \rightarrow E_1 \xrightarrow{\varphi} E \xrightarrow{\psi} E_2 \rightarrow 0$  is trivial if  $E \cong E_1 \oplus E_2$

&  $\phi: E_1 \rightarrow E$  canonical embedding &  $\psi: E \rightarrow E_2$  canonical projection  
 $e_1 \mapsto (e_1, e_2)$   $(e_1, e_2) \mapsto e_2$

Prop the isom. classes of extensions of  $E_2$  by  $E_1$  are one-to-one correspondence with the elements of group

$$H^1(X, \mathcal{H}om(E_2, E_1)) = H^1(X, E_2^\vee \otimes E_1)$$

With trivial extensions of  $E_2$  by  $E_1 \leftrightarrow$  zero element of  $H^1(X, E_2^\vee \otimes E_1)$

Sketch of proof. For an extension of  $E_2$  by  $E_1$

$$0 \rightarrow E_1 \rightarrow E \rightarrow E_2 \rightarrow 0$$

$\leadsto$  another exact seq.

$$0 \rightarrow \mathcal{H}om(E_2, E_1) \rightarrow \mathcal{H}om(E_2, E) \rightarrow \mathcal{H}om(E_2, E_2) \rightarrow 0$$

taking Cohomology, we obtain a canonical boundary map

$$\delta: H^0(X, \mathcal{H}om(E_2, E_2)) \rightarrow H^1(X, \mathcal{H}om(E_2, E_1))$$

$\parallel$   
 $\mathcal{H}om(E_2, E_2)$

$$\text{id}_{E_2} \mapsto \delta(\text{id}_{E_2})$$

called the class of the extension  
 $0 \rightarrow E_1 \rightarrow E \rightarrow E_2 \rightarrow 0$

□

As an end of this section, we give a special case of Grothendieck's theorem on splitting of vector bundles on  $\mathbb{P}^1$

### THEOREM

$\forall$  locally free  $\mathcal{O}_{\mathbb{P}^1}$ -module of rank 2 is isomorphic to  $\mathcal{O}_{\mathbb{P}^1}(m) \oplus \mathcal{O}_{\mathbb{P}^1}(n)$  for suitable integers  $m, n \in \mathbb{Z}$ .

Pf. Let  $E$  be a locally free sheaf of rank 2 on  $\mathbb{P}^1$  &  $M$  be any invertible  $\mathcal{O}_{\mathbb{P}^1}$ -module.

Recall that for  $\forall$  locally free sheaf  $\mathcal{F}$  of rank  $n$ , the pairing  $\wedge^r \mathcal{F} \otimes \wedge^{n-r} \mathcal{F} \rightarrow \wedge^n \mathcal{F}$  is perfect for any  $r$ , that is,  $\wedge^r \mathcal{F} \cong (\wedge^{n-r} \mathcal{F})^\vee \otimes (\wedge^n \mathcal{F})$

$$\Rightarrow E \cong E^\vee \otimes \wedge^2 E$$

$$\deg(E \otimes M) = \deg(\wedge^2(E \otimes M)) = \deg E + 2 \deg M$$

Choose  $M$  as follows:

$$M = \begin{cases} \mathcal{O}_{\mathbb{P}^1}(-\frac{d}{2}) & \text{if } d = \deg E \text{ even.} \\ \mathcal{O}_{\mathbb{P}^1}(-\frac{d+1}{2}) & \text{if } d = \deg E \text{ odd.} \end{cases}$$

& put  $E' = E \otimes M$ , then

$$\deg E' = \deg E + 2 \deg M = 0 \text{ or } -1$$

Suffices to prove the theorem for  $E'$  & so WMA

$$d = \deg E = 0 \text{ or } -1.$$

By Riemann-Roch,

$$\begin{aligned} \chi(E) &= \deg E + \text{rank } E (1 - g(\mathbb{C})) \\ &= d + 2 \geq 1 \end{aligned}$$

$$\Rightarrow h^0(E) \geq 1$$

Let  $0 \neq s \in H^0(E)$ , then  $s$  is a global section of the invertible subsheaf  $L_s := i_s^* \mathcal{O}_{\mathbb{P}(E)}(-1) \Rightarrow \deg L_s \geq 0$ .

Moreover, the quotient  $L' := E/L_s$  is also invertible.

$L_s, L'$  line bundles on  $\mathbb{P}^1$   $\Rightarrow \exists$  two integers  $a, b \in \mathbb{Z}$  st.  $L_s \cong \mathcal{O}_{\mathbb{P}^1}(a)$  with  $\underline{a \geq 0}$

$$\text{Pic}(\mathbb{P}^1) \cong \mathbb{Z}$$

$$L' \cong \mathcal{O}_{\mathbb{P}^1}(b) \quad (\text{since } \deg L_s \geq 0)$$

$$\begin{aligned} \deg E &= \deg L_s + \deg L' = a + b \\ &\stackrel{d}{=} \end{aligned}$$

We have a.s.e.s.

$$0 \rightarrow \mathcal{O}_{P'}(a) \rightarrow E \rightarrow \mathcal{O}_{P'}(d-a) \rightarrow 0$$

with  $d = \deg E = 0$  or  $-1$ .

$\Rightarrow E$  is an extension of  $\mathcal{O}_{P'}(d-a)$  by  $\mathcal{O}_{P'}(a)$ .

By above prop,

$$H^1(P', \mathcal{O}_{P'}(2a-d)) \cong \left\{ \begin{array}{l} \text{isom. classes of extensions of } \mathcal{O}_{P'}(d-a) \\ \text{by } \mathcal{O}_{P'}(a) \end{array} \right\}$$

$$\left. \begin{array}{l} a \geq 0 \\ d = 0 \text{ or } -1 \end{array} \right\} \Rightarrow 2a-d \geq -1 \Rightarrow h^1(P', \mathcal{O}_{P'}(2a-d)) = 0$$



the extension

$$0 \rightarrow \mathcal{O}_{P'}(a) \rightarrow E \rightarrow \mathcal{O}_{P'}(d-a) \rightarrow 0$$

$$E \cong \mathcal{O}_{P'}(a) \oplus \mathcal{O}_{P'}(d-a) \leftarrow \text{is trivial.}$$

